PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT and documents submitted therewith are being deposited with the United States Postal Service as first class mail, postage prepaid thereon, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Ariel Fletcher

Group: 1644

Serial No.: 10/822,173

Applicant: Webb, et al.

Confirmation No.: 3667

Filed: April 8, 2004

Examiner: Unassigned

For: MHC CLASS II ANTIGEN-

METHODS FOR ACTIVATING

PRESENTING SYSTEMS AND ) Our

Our Ref.: TSRI 536.1 Con 1

CD4+ T CELLS

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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Information Disclosure Statement in compliance therewith.

Pursuant to 37 CFR §1.98(d), enclosed herewith is a copy of 1) the Information Disclosure Statement and 2) the accompanying PTO Form 1449 filed in application 09/715,891 which refers to and also includes Information Disclosure Statements filed in other related applications. Application 09/715,891 was filed on November 17, 2000, now pending, to which the present application is related by continuation. Also, pursuant to 37 CFR §1.98(d),

copies of the above references are not submitted herewith, as they are available in the related patent application 09/715,891 and other related applications.

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR \$1.56, it shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR §1.97(b) insofar as this statement is being filed within three months of the filing of the application and/or before the mailing date of a first Office Action.

Also, in accordance with 37 CFR \$1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR \$1.56 exists.

Respectfully submitted,

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Michael

McCarthy,

46 910

THE SCRIPPS RESEARCH INSTITUTE Office of Patent Counsel 10550 North Torrey Pines Road Mail Drop TPC-8
La Jolla, CA 92037 (858) 784-2937

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Ariel Fletcher	Date of Deposit
Applicant: Webb, et al.	)
Serial No.: 09/715,891	) Group Art Unit: 1644 )
Filed: November 17, 2000	) Examiner: A. M. DeCloux
For: MHC CLASS II ANTIGEN PRESENT SYSTEMS AND METHODS FOR ACTIVATING CD4 <sup>+</sup> T CELLS	ING ) Our Ref.: TSRI 536.1 Div 2 )

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In recognition of their continuing duty to disclose pursuant to 37 CFR 1.56, Applicants hereby submit the present Information Disclosure Statement and accompanying PTO Form 1449 in compliance therewith.

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, it shall not be construed to be an admission that the cited

information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR 1.97 (c). The statement is accompanied by a check in the amount of \$180.00 as payment of the fee set forth in 37 CFR \$1.17 (p) in accordance with 37 CFR 1.97(c)(2).

Also, in accordance with 37 CFR 1.97 (g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR 1.56 exists.

Respectfully submitted,

Emily Holmes, Reg. No. 40,652

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FORM PTO-144	9 U.S. DEPARTMENT OF C PATENT AND TRADEMA	OMMERCE RK OFFICE				CKET NO. 536.1 D2		SERIAL NO. 09/715,891
					APPLIC Webb,			
INFORMATION STATEMENT B	N DISCLOSURE BY APPLICANT				FILING 11/1	DATE 7/00		GROUP 1644
		U	S. PATENT DO	CUMENTS				
EXAM. INITIALS	DOCUMENT NUMBER	DATE		NAME		CLASS	SUB- CLASS	FILING DATE
		FOR	EIGN PATENT I	OCUMENTS			<u> </u>	
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1	WO 96/12009	04/25/96	PCT	·				
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I -		OTHER DOCUMENTS					·	
2	Galvin, et al., "Murine B7  Journal of Immunology 14	Antigen Provides a Suf 2: 3802-3808 (1992)	ficient Costimula	tory Signal for	Antigen-Speci	fic and MHC-	Restricted T	Cell Activation" <u>, Th</u> e
3	Baskar, et al, "Tumor Cells Immunity", <u>Journal of Imm</u>	Expressing Major Hist unotherapy 14: 209-21	ocompatibility C 5 (1993)	omplex Class	II and B7 Activ	ation Molecul	es Stimulate	Potent Tumor-Specifi
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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	Tami Barnas	<u> </u>
Applicant:	Webb, et al.	
Serial No.:	09/715,891	Group: 1644
Filed:	November 17, 2000	Examiner: Unassigned
For:	MHC CLASS II ANTIGEN-PRESENTING ) SYSTEMS AND METHODS FOR ) ACTIVATING CD4 <sup>+</sup> T CELLS )	Our Ref.: TSRI 536.1 Div 2

# INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Information Disclosure Statement in compliance therewith.

Pursuant to 37 CFR §1.98(d), enclosed herewith is a copy of 1) the Information Disclosure Statement and 2) the accompanying PTO Form 1449 filed in the application 09/194,285, to which the present application is related by division, filed on April 12, 1999, now pending. Also, pursuant to 37 CFR §1.98(d), copies of the above references are not submitted herewith, as they are available in the related patent application 09/194,285.

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56, it shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

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Also, in accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

Respectfully submitted.

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	Tami Barnas	Date of Deposit
Applicant:	Webb, et al.	)
Serial No.:	09/194,285	) Group Art Unit: 1644
Filed:	April 12, 1999	) Examiner: F.P. VanderVegt
For:	MHC CLASS II ANTIGEN PRESENTING SYSTEMS AND METHODS FOR ACTIVATING CD4 <sup>+</sup> T CELLS	) Our Ref.: TSRI 536.1 )

# INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In recognition of their continuing duty to disclose pursuant to 37 CFR 1.56, Applicants hereby submit the present Information Disclosure Statement and accompanying PTO Form 1449 in compliance therewith.

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, it shall not be construed to be an admission that the cited

information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR 1.97 (c). The statement is accompanied by a check in the amount of \$180.00 as payment of the fee set forth in 37 CFR §1.17 (p) in accordance with 37 CFR 1.97(c)(2).

Also, in accordance with 37 CFR 1.97 (g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR 1.56 exists.

Respectfully submitted,

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# FORM PTO-1449. U.S. DEPARTMENT OF SOMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT SERIAL NO. 09/194,285 APPLICANT Webb, et al. FILING DATE April 12, 1999 1644

## U.S. PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	5,242,687	9/7/93	Tykocinski, et al.			
	5,583,031	12/10/96	Stern			
	5,529,921	6/25/96	Peterson, et al.			
	5,314,813	5/24/94	Peterson, et al.			
	5,395,760	3/7/95	Smith, et al.			
			·			

## FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
			<u> </u>			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	1	Hemler, et al., Adhesive protein receptors on hematopoietic cells, 1998, Immunology Today, 9(4):109-114.	
	2	Ratnofsky, et al., Expression and function of CD8 in a murine T cell hybridoma, 1987, J. Exp. Med., 166:1747-1757	
1	3	Sette, et al., Antigen analogs/MHC complexes as specific T cell receptor antagonists, 1994, Annu. Rev. Immunol., 12:413-431.	
	4	Tan, et al., Induction of alloantigen-specific hyporesponsiveness in human T lymphocytes by blocking interaction of CD28 with its natural ligand B7/BB1, 1993, J. Exp. Med., 177:165-173.	
	5	Brown, et al., CD27-CD27 ligand/CD70 interactions enhance alloantigen-induced proliferation and cytolytic activity in CD8+ 1 lymphocytes, 1995, <i>J. Immunol.</i> , 154:3686-3695.	
	6	Morris, et al., An essential role for HLA-DM in antigen presentation by class II major histocompatability molecules, 1994, Nature, 368:551-554.	
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EXAMINER		DATE CONSIDERED .	